

## APPLICATION FOR FUND SWITCH

**CONDITIONS TO BE READ BEFORE FILLING THE FORM:**

1. This form needs to be filled by the policyholder himself/herself in BLOCK LETTERS in black or blue ink.
2. In case of switching the funds from Top-Up account kindly fill in the fund switch details in the Top-Up A/C Form.
3. If this application form is received by 3.00 p.m. on a working day, switch shall be effected at the applicable price declared on the same day.
4. If the application form is received after 3.00 p.m. on a working day, switch shall be effected at the applicable price declared on the next Trading day.
5. This application will not be effective until it is officially accepted by Kotak Mahindra Life Insurance Company Ltd.
6. The switch will not affect your future premium allocation, only the current holding shall be switched as per the request.
7. Two Financial Transaction cannot be processed on same day.
8. In order to abide by the Foreign Account Tax Compliance Act (FATCA), kindly submit an Insurance FATCA Declaration separately.  
I/We confirm that I/we shall report any future changes in my/our tax status to Kotak Life Insurance within 30 days of such change. I/We also confirm that until I/we provide a written intimation about any such changes, Kotak Life Insurance may presume that there is no change in my/our tax residency status and consider my/our earlier submitted declarations, if any, as valid. I understand that for any queries about my/our tax residency, I/we have to consult my/our own tax consultant.

|                  |   |            |             |   |   |   |   |   |   |
|------------------|---|------------|-------------|---|---|---|---|---|---|
| Policy No.:      | Plan Code:  |            |             |   |   |   |   |   |   |
| Plan Name:       | Date: <table border="1" style="display: inline-table; border-collapse: collapse; text-align: center;"> <tr><td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td></tr> </table> | D          | D           | M | M | Y | Y | Y | Y |
| D                | D   | M          | M           | Y | Y | Y | Y |   |   |
| Title            | Surname   | First name | Middle name |   |   |   |   |   |   |
| Contact Details: |   | Email ID:  |             |   |   |   |   |   |   |

Do your bit for a greener world by switching to e-communication. Kindly tick if you would like to receive your communication through electronic mode for all your policies.

**PAYMENT OPTIONS (Pay directly to my bank account mentioned here, please attach an Original cancelled cheque for any payment type)**

|  |                                  |  |  |
|--|----------------------------------|--|--|
| Payment remittance type                      | <input type="checkbox"/> Cheque  | <input type="checkbox"/> Direct credit |  |
| Name of the Policy holder as per Bank record |                                  |  |  |
| Bank Name & Address                          | Account No:                      | IFSC Code                              |  |
| Account Type                                 | <input type="checkbox"/> Savings | <input type="checkbox"/> NRE*          | <input type="checkbox"/> Others (if any) |
|  | MICR Code                        |  |  |

\*Credit to NRE account can be given only if premiums are received from NRE account

**FUND SWITCH:**

Please note that switching of fund changes only existing fund holdings. If you wish to change the fund allocation of future premiums, please fill the "Application for Premium Re-direction" form.

I apply to switch the value of units held under this policy as indicated  Main Account  Top Up Account  
(In case of switching the funds from Top-Up account kindly fill in the fund switch details in the "Funds Switch from Top-Up A/C" form.)

| Product Name/<br>Code | [ ] - Kotak Safe investment Plan II (KSI & K01)<br>[ ] - Kotak Flexi Plan (KFP & K02)<br>[ ] - Kotak Platinum Advantage Plan Shield A/c (K08)<br>[ ] - Kotak Platinum Advantage Plus Shield A/c (K17 & K16) | From (%) | To (%) | variable in  |
|-----------------------|---|----------|--------|--|
| KGRG                  | Kotak Guaranteed Growth Fund (ULIF-013-27/06/03-GRTGWTFND-107)  |          |        | KSI, K01, KFP, K02, K08, K16, K17                  |
| KBLG                  | Kotak Guaranteed Balanced Fund (ULIF-010-27/06/03-GRTBALFND-107)  |          |        | KSI, K01, KFP, K02, K08                            |
| KBDF                  | Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)  |          |        | KSI, K01, KFP, K02, K08                            |
| KFRF                  | Kotak Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)  |          |        | KSI, K01, KFP, K02, K08                            |
| KGLF                  | Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)  |          |        | KSI, K01, KFP, K02, K08                            |
| FMMF                  | Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)  |          |        | KSI, K01, KFP, K02, K08                            |
| FFEF                  | Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)  |          |        | KSI, K01, K02A, K02B, K16A, K17A, KFP1, KFP3, KULO |
|                       |   |          |        | 100%   |

- Notes :
- In case of KSI, K01, K02A, K02B, K08A, K16A, K17A, KFP1, KFP3, KULO 'Frontline Equity Fund' is available only when the Capital Guarantee falls off.
  - Once 'Frontline Equity Fund' has been opted for, customer cannot have his Capital Guarantee reinstated at any point of time.
  - Dynamic Money Market Fund is available only in the last policy year.
  - Guarantee & Front line Equity Fund is not available for KSIP with product code KULO & Joint Life KSIP policies.
  - Kotak Platinum Adv. Plus product code K16 & K17, only Guaranteed Growth Fund is available.

| Product Name/<br>Code | [ ] - Kotak Safe investment Plan II (K34)<br>[ ] - Kotak Secure Invest Insurance (K41) | From (%) | To (%) | Available in |
|-----------------------|--|----------|--------|--------------|
| FMMF                  | Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)                                     |          |        | K34 & K41    |
| FFEF                  | Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)                                 |          |        | K34 & K41    |
| GRTF                  | Guarantee Fund (ULIF-048-05/02/10-GRTFND-107)  |          |        | K34 & K41    |
|                       |  |          |        | 100%         |

- Notes :
- 'Frontline Equity Fund' is available only when the Capital Guarantee falls off.
  - Once 'Frontline Equity Fund' has been opted for, customer cannot have his Capital Guarantee reinstated at any point of time.

| Product Name/<br>Code | [ ] - Kotak Single Invest Plus (K78)                         | From (%) | To (%) | Available in |
|-----------------------|--|----------|--------|--------------|
| FMMF                  | Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)           |          |        | K03          |
| FCOF                  | Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107) |          |        | K03          |
| FFEF                  | Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)       |          |        | K03          |
| KBDF                  | Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)     |          |        | K03          |
| KGLF                  | Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)     |          |        | K03          |
|                       |  |          |        | 100%         |

Policy No. \_\_\_\_\_ Signature of Scribe \_\_\_\_\_ Signature of Policyholder \_\_\_\_\_

| Product Name/<br>Code |   | From (%) | To (%) | Available in                             |
|-----------------------|---|----------|--------|--|
|                       | [ ] - Kotak Retirement Plans (unit-Linked) (K04A, K04B & K04C)<br>[ ] - Kotak Secure Retirement Plan (K18)<br>[ ] - Kotak Retirement Income Plan (KRI1, KRI2, KRI3) |          |        |  |
| KGRP                  | Kotak Pension Growth Fund (ULIF-030-07/01/09-PNGWTFND-107)  |          |        | K18                                      |
| KBLP                  | Pension Balanced Fund II (ULIF-046-24/01/10-PNBALFND2-107)  |          |        | K04A, K04B, K04C, K18, KRI1, KRI2, KRI3  |
| KBDF                  | Kotak Pension Bond Fund (ULIF-017-15/04/04-PNBDFND-107)   |          |        | K04A, K04B, K04C, K18, KRI1, KRI2, KRI3  |
| KFRP                  | Kotak Pension Floating Rate Fund (ULIF-022-07/12/04-PNFLTFRFND-107)   |          |        | K04A, K04B, K04C, K18, KRI1, KRI2, KRI3  |
| KGLP                  | Kotak Pension Gilt Fund (ULIF-008-27/06/03-PNGLTFND-107)  |          |        | K04A, K04B, K04C, K18, KRI1, KRI2, KRI3  |
| PMMF                  | Pension Money Market Fund II (ULIF-039-28/12/09-PNMNMFND-107)   |          |        | K04A, K04B, K04C, KRI1, KRI2, KRI3       |
| PFEF                  | Pension Frontline Equity Fund (ULIF-044-11/01/10-PNFRLEQFND-107)  |          |        | K04A, K04B, K04C, K18A, KRI1, KRI2, KRI3 |
|                       |   |          | 100%   |  |

- Notes : - Pension Growth Fund is available only with Kotak Secure Retirement Plan (K18) and not available with Kotak Retirement Plans (K04A, K04B & K04C)  
- Pension Money Market Fund II is available only in the last policy year.  
- Pension Frontline Equity Fund is available in K04A, K04B, K04C, K18A, KRI1, KRI2, KRI3

| Product Name/<br>Code |   | From (%) | To (%) | Available in<br>(For Policies issued on or prior to 31st Dec 2009) |
|-----------------------|---|----------|--------|--|
|                       | [ ] - Kotak Easy Growth (KEG)<br>[ ] - Kotak Long Life Secure Plus and Wealth Plus (K13, K14)<br>[ ] - Kotak Headstart Future Protect and Assure Wealth (K05 & K06)<br>[ ] - Kotak Smart Advantage (K12)<br>[ ] - Kotak Platinum Adv Plus Dynamic A/c (K08, K16 & K17)<br>[ ] - Kotak Single Invest (K20) |          |        |  |
| KAGF                  | Aggressive Growth Fund (ULIF-018-13/09/04-AGRWFND-107)  |          |        | KEG, K05, K06, K08, K13, K14, K16, K17                             |
| KGRF                  | Dynamic Growth Fund (ULIF-012-27/06/03-DYGFND-107)  |          |        | KEG, K05, K06, K08, K13, K14, K16, K17                             |
| KBLF                  | Dynamic Balanced Fund (ULIF-009-27/06/03-DYBALFND-107)  |          |        | KEG, K05, K06, K08, K13, K14, K16, K17                             |
| KFRF                  | Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)  |          |        | KEG, K05, K06, K08, K13, K14, K16, K17                             |
| KGLF                  | Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)  |          |        | KEG, K05, K06, K08, K13, K14, K16, K17                             |
| FMMF                  | Dynamic Money Market Fund (ULIF-041-05/01/10-MNMKMFND-107)  |          |        | K05, K06, K08, K13, K14, K16, K17                                  |
| KBDF                  | Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)  |          |        | KEG, K05, K06, K08, K12, K13, K14, K16, K17, K20                   |
| KOPF                  | Opportunities Fund (ULIF-029-02/10/08-OPPFND-107)   |          |        | K12, K05, K06, K13, K14, K16, K17, K20                             |
| KFLF                  | Dynamic Floor Fund (ULIF-028-14/11/06-DYFLRFND-107)   |          |        | K12, K05, K06, K08, K13, K14, K16, K17, K20                        |
|                       |   |          | 100%   |  |

- Notes : - Dynamic Money Market Fund is available only in the last policy year.  
- Headstart plans only for the following code (K05A, K05B, K06A, K06B, K05C, K05D, K06C & K06D).  
- Kotak Smart Advantage (K12) has only 3 fund option viz. Dynamic Bond Fund (KBDF), Dynamic Floor Fund (KFLF) & Opportunities Fund (KOPF)  
- Opportunities Fund (KOPF) & Dynamic Floor Fund (KFLF) is not available in Kotak Easy Growth Plan (KEG)  
- Opportunities Fund (KOPF) is not available in Kotak Platinum Adv Plus Dynamic A/c (K08)  
- In Kotak Smart Advantage Plan there is no unit allocation in the 1st policy year.  
- Kotak Money Market Fund is not available for Kotak Easy Growth (KEG).

| Product Name/<br>Code |   | From (%) | To (%) | Available in   |
|-----------------------|---|----------|--------|--|
|                       | [ ] - Kotak Headstart Future Protect and Assure Wealth (K27 & K28)<br>[ ] - Kotak Long Life Secure Plus and Wealth Plus (K29 & K30)<br>[ ] - Kotak Platinum Edge (K31)<br>[ ] - Kotak Single Invest (K33) - Policies Issued after 1.Jan.10<br>[ ] - Kotak Wealth Insurance Plan (K38)<br>[ ] - Kotak Super Advantage (K23)<br>[ ] - Kotak Headstart Child Assure (K40)<br>[ ] - Kotak Ace Investment (K42)<br>[ ] - Kotak Single Invest Advantage (43)<br>[ ] - Kotak Platinum (K44)<br>[ ] - Kotak Single Invest Plus (K56)<br>[ ] - Kotak Wealth Insurance (K66)<br>[ ] - Kotak Ace Investment (K67)<br>[ ] - Kotak Single Invest Advantage (K69)<br>[ ] - Kotak Headstart Child Assure (K64) |          |        |  |
| KFRF                  | Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)  |          |        | K27, K28, K29, K30, K31, K38, K40, K42, K44, K67, K64, K68, K66                          |
| FBLF                  | Balanced Fund (ULIF-037-21/12/09-BALKFND-107)   |          |        | K27, K28, K29, K30, K31, K38, K40, K42, K44, K67, K64, K68, K66                          |
| FMMF                  | Money Market Fund (ULIF-041-05/01/10-MNMKMFND-107)  |          |        | K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K64, K68, K78, K66                |
| KGLF                  | Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)  |          |        | K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66      |
| KBDF                  | Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)  |          |        | K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66 |
| FCOF                  | Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107)  |          |        | K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66 |
| FPEF                  | Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)  |          |        | K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66 |
| FFLF                  | Dynamic Floor Fund II (ULIF-035-17/12/09-DYFLRFND2-107)   |          |        | K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K56                               |
| GPGF                  | Peak Guarantee Fund I (ULIF-049-14/02/10-PKGRTFND1-107)   |          | NA     | K31, K33, K67, K69, K64, K68, K78, K56, K66  |
|                       |   |          | 100%   |  |

- Notes : - Switch In not allowed in peak guarantee fund (Post 30th April 10), only switch out is allowed for those customers who have opted for this fund.  
- In Kotak Super Advantage (K23) there is no unit allocation in the 1st policy year.

Policy No. \_\_\_\_\_ Signature of Scribe \_\_\_\_\_ Signature of Policyholder \_\_\_\_\_

| Product Name/<br>Code | [ ] - Kotak Second Innings Plan (K19)                       | From (%) | To (%) | Available in |
|-----------------------|---|----------|--------|--------------|
| KBDP                  | Pension Bond Fund (ULIF-017-15/04/04-PNBNDNFND-107)         |          |        | K19          |
| KOPP                  | Pension Opportunities Fund (ULIF-032-17/07/09-PNOPPFND-107) |          |        | K19          |
| KFLP                  | Kotak Pension Floor Fund (ULIF-031-13/07/09-PNFLRFND-107)   |          |        | K19          |
|                       |   |          | 100%   |              |

| Product Name/<br>Code | [ ] - Kotak Second Innings Plan (K32)<br>[ ] - Guaranteed Pension Builder (K24) | From (%) | To (%) | Available in |
|-----------------------|---|----------|--------|--------------|
| KBDP                  | Pension Bond Fund (ULIF-017-15/04/04-PNBNDNFND-107)                             |          |        | K32          |
| PBLF                  | Pension Balanced Fund II (ULIF-046-24/01/10-PNBALFND2-107)                      |          |        | K32          |
| PFLF                  | Pension Floor Fund II (ULIF-043-08/01/10-PNFLRFND2-107)                         |          |        | K32          |
| PFEF                  | Pension Frontline Equity Fund (ULIF-044-11/01/10-PNFRLEQFND-107)                |          |        | K32          |
| PCOF                  | Pension Classic Opportunities Fund (ULIF-042-07/01/10-PNCLAOPFND-107)           |          |        | K32          |
| PMMF                  | Pension Money Market Fund II (ULIF-039-28/12/09-PNMNMKFNFD-107)                 |          |        | K32 & K24    |
| PGUF                  | Pension Guarantee Fund (ULIF-038-21/12/09-PNGRTFND-107)                         |          |        | K24          |
|                       |   |          | 100%   |              |

| Product Name/<br>Code | [ ] - Kotak Invest Maxima Plan (K51)<br>[ ] - Kotak Invest Maxima Plan (K65)<br>[ ] - Kotak Single Invest Advantage (K83)<br>[ ] - Kotak Platinum (K84)<br>[ ] - Kotak Ace Investment (K86)<br>[ ] - Kotak Platinum (L01)<br>[ ] - Kotak Ace Investment (L02)<br>[ ] - Kotak Invest Maxima Plan (L04)<br>[ ] - Kotak Wealth Optima (L20)<br>[ ] - Kotak Wealth Optima Plan (K97)<br>[ ] - Kotak e-Invest (L07)<br>[ ] - Kotak e-Invest (L08)<br>[ ] - Kotak e-Invest (L10)<br>[ ] - Kotak Platinum Plan (L17) | From (%) | To (%) | Available in   |
|-----------------------|---|----------|--------|--|
| FMMF                  | Kotak Money Market Fund (ULIF-041-05/01/10-MNMKFNFD-107)  |          |        | K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10 |
| FCOF                  | Kotak Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107)  |          |        | K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10 |
| FFEF                  | Kotak Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)  |          |        | K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10 |
| KBDF                  | Kotak Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDNFND-107)   |          |        | K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10 |
| FBLF                  | Kotak Balanced Fund (ULIF-037-21/12/09-BALKFND-107)   |          |        | K51, K65, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10      |
| KGLF                  | Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)  |          |        | K83, K84, K86, K97, L01, L20, L02, L17, L07, L08, L10                |
| KFRF                  | Kotak Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)  |          |        | K84, K86, K97, L01, L20, L02, L17, L07, L08, L10                     |
| MCAF                  | Mid Cap Advantage Fund (ULIF-054-15/09/23-MIDCAPFUND-107)   |          |        | L04, L20, L01, L17, K83, L07, L08, L10                               |
|                       |   |          | 100%   |  |

Notes : - This will be applicable if policy is in Self managed strategy.  
- If strategy is to be changed, refer Investment Strategy form.

**Important Points :**

1. Kotak Smart Advantage (K12) has only 3 fund option viz. Dynamic Bond Fund (KBDF), Dynamic Floor Fund (KFLF) & Opportunities Fund (KOPF). 2. Kotak Opportunities Fund and Dynamic Floor Fund is not available in Kotak Easy Growth Plan (KEG). 3. Opportunities Fund (KOPF) is not available in Kotak Platinum Adv Plus Dynamic A/c (K08). 4. Pension Growth Fund is available only with Kotak Secure Retirement Plan (K18) and not available with Kotak Retirement Plans (K04A, K04B & K04C). 5. Dynamic Money Market Fund, Pension Money Market Fund II and Dynamic Money Market Fund is available only in the last policy year. 6. Kotak Money Market Fund is not available for Kotak Easy Growth (KEG). 7. In case of Kotak Safe Investment Plan II 'Frontline Equity Fund' is available only when the GMV falls off. 8. Once 'Frontline Equity Fund' has been opted for, customer cannot have his GMV/Capital Guarantee reinstated at any point of time. 9. Guarantee Fund & Front line Equity Fund is not available for KSIP with product code KULO & Joint Life KSIP policies. 10. Kotak Platinum Adv. Plus product code K16 & K17, only Guaranteed Growth Fund is available. 11. Switch is not permissible for any policy in lapsed status. Fund switch is not allowed in notice period. 12. Switch In not allowed in peak guarantee fund (Post 30th April 10), only Switch Out is allowed for those customers who have opted for this fund.

I hereby declare that I Understand and agree to all the conditions and information given above. I further agree and understand that Fund Switch consequent to my request for the same herein shall be governed by the provisions and terms and conditions of the policy contract referred to herein.

|  |  |
|--|--|
| Signature of Policyholder<br>Note: In case the policy is assigned, please ensure that this form is signed by the Assignee. | Signature of Scribe<br>Name of Scribe: _____<br>Relationship with owner: _____<br>Contact Details of Scribe: _____ |
|--|--|

**FOR BRANCH OFFICE USE ONLY**

Note : Please fax Fund Switch/Future premium re-direction form on 022-66200554. If faxed on any other number then we will not be responsible for delay in processing.

Mode of Receipt : \_\_\_\_\_  
 Request Call ID : \_\_\_\_\_  
 Name of BOE : \_\_\_\_\_

**Kotak Mahindra Life Insurance Company Ltd.**  
 IRDAI Reg. No. 107, CIN: U66030MH2000PLC128503, Regd. Office: 8th Floor,  
 Plot #C-12, G-Block, BKC, Bandra (E), Mumbai - 400 051  
 For any correspondence kindly contact us at: 9th Floor, Intellion Square (Building No.4),  
 Infinity Park, Off. W. E. Highway,  
 General AK Vaidya Marg, Malad (E), Mumbai - 400 097. India.  
 6725 6166 (D) 6742 5650 (F) 1800 209 8800 (toll free) www.kotaklife.com | Write  
 to: kllin/WECARE/ WhatsApp: 9321003007

BRANCH STAMP

CC\PS\Fund Switch form\008

**ACKNOWLEDGEMENT**

We acknowledge the receipt of Fund Switch request for Policy No.: \_\_\_\_\_ Branch Name: \_\_\_\_\_  
 Name of Branch Co-ordinator : \_\_\_\_\_ Date : \_\_\_\_\_ Time : \_\_\_\_\_

**Kotak Mahindra Life Insurance Company Ltd.**

IRDAI Regn. No. 107, CIN: U166030MH2000PLC128503, Regd. Office: 8th Floor, Plot # C-12, G- Block, BKC, Bandra (E), Mumbai - 400 051  
 For any correspondence kindly contact us at: 9th Floor, Intellion Square (Building No.4), Infinity Park, Off. W. E. Highway, General AK Vaidya Marg, Malad (E), Mumbai - 400 097. India.  
 (+9122) 6725 6166 (D) 6742 5650 (F) 1800 209 880 (toll free) www.kotaklife.com | Write to: kl.in/WECARE/ WhatsApp: 9321003007

CC\PS\Fund Switch form\008

**Funds Switch in Top Up Account**

Policy No \_\_\_\_\_ Plan Name \_\_\_\_\_

Name of Policy Owner \_\_\_\_\_

Contact Details : \_\_\_\_\_ E-mail ID : \_\_\_\_\_

For old policies issued on or Prior to Dec 31, 2010

| FROM                         | Code | % to be Switched |
|------------------------------|------|------------------|
| Kotak Opportunity Fund       | KOPF |                  |
| Kotak Balanced Fund          | KBLF |                  |
| Kotak Bond Fund              | KBDF |                  |
| Kotak Gilt Fund              | KGLF |                  |
| Kotak Growth Fund            | KGRF |                  |
| Kotak Aggressive Growth Fund | KAGF |                  |
| Kotak Floor Fund             | KFLF |                  |
| Kotak Floating Rate Fund     | KFRF |                  |
| Kotak Money Market Fund      | KMMF |                  |
| Pension Bond Fund            | KBDF |                  |
| Pension Opportunity Fund     | KOPP |                  |
| Pension Floor Fund           | KFLP |                  |
| Total                        |      |                  |

| TO                           | Code | % of allocation |
|------------------------------|------|-----------------|
| Kotak Opportunity Fund       | KOPF |                 |
| Kotak Balanced Fund          | KBLF |                 |
| Kotak Bond Fund              | KBDF |                 |
| Kotak Gilt Fund              | KGLF |                 |
| Kotak Growth Fund            | KGRF |                 |
| Kotak Aggressive Growth Fund | KAGF |                 |
| Kotak Floor Fund             | KFLF |                 |
| Kotak Floating Rate Fund     | KFRF |                 |
| Kotak Money Market Fund      | KMMF |                 |
| Pension Bond Fund            | KBDF |                 |
| Pension Opportunity Fund     | KOPP |                 |
| Pension Floor Fund           | KFLP |                 |
| Total                        |      | 100%            |

For old policies holders who opted to switch to new funds prior to March 15, 2010

| FROM                               | Code | % to be Switched |
|------------------------------------|------|------------------|
| Dynamic Floor Fund II              | FFLF |                  |
| Frontline Equity Fund              | FFEf |                  |
| Classic Opportunities Fund         | FCOF |                  |
| Guarantee Fund                     | FGRF |                  |
| Pension Floor Fund II              | PFLF |                  |
| Pension Frontline Equity Fund      | PFEF |                  |
| Pension Classic Opportunities Fund | PCOF |                  |
| Peak Guarantee Fund *              | GPGF |                  |
| Total                              |      |                  |

| TO                                 | Code | % of allocation |
|------------------------------------|------|-----------------|
| Dynamic Floor Fund II              | FFLF |                 |
| Frontline Equity Fund              | FFEf |                 |
| Classic Opportunities Fund         | FCOF |                 |
| Guarantee Fund                     | FGRF |                 |
| Pension Floor Fund II              | PFLF |                 |
| Pension Frontline Equity Fund      | PFEF |                 |
| Pension Classic Opportunities Fund | PCOF |                 |
| Total                              |      | 100%            |

\* Switch In for Peak Guarantee fund will be allowed only during the tranche period and for policies that have their maturity date on or after the fund maturity date.

For New policy holder for policies issued post Jan 01, 2010

| FROM                               | Code | % to be Switched |
|------------------------------------|------|------------------|
| Money Market Fund                  | FMMF |                  |
| Dynamic Floating Rate Fund         | KFRF |                  |
| Dynamic Gilt Fund                  | KGLF |                  |
| Dynamic Bond Fund                  | KBDF |                  |
| Balanced Fund                      | FBLF |                  |
| Dynamic Floor Fund II              | FFLF |                  |
| Frontline Equity Fund              | FFEf |                  |
| Classic Opportunities Fund         | FCOF |                  |
| Guarantee Fund                     | FGRF |                  |
| Pension Money Market Fund II       | PMMF |                  |
| Pension Bond Fund                  | KBDF |                  |
| Pension Balanced Fund II           | PBLF |                  |
| Pension Floor Fund II              | PFLF |                  |
| Pension Frontline Equity Fund      | PFEF |                  |
| Pension Classic Opportunities Fund | PCOF |                  |
| Pension Guarantee Fund             | PGUF |                  |
| Peak Guarantee Fund *              | GPGF |                  |
| Total                              |      |                  |

| TO                                 | Code | % of allocation |
|------------------------------------|------|-----------------|
| Money Market Fund                  | FMMF |                 |
| Dynamic Floating Rate Fund         | KFRF |                 |
| Dynamic Gilt Fund                  | KGLF |                 |
| Dynamic Bond Fund                  | KBDF |                 |
| Balanced Fund                      | FBLF |                 |
| Dynamic Floor Fund II              | FFLF |                 |
| Frontline Equity Fund              | FFEf |                 |
| Classic Opportunities Fund         | FCOF |                 |
| Guarantee Fund                     | FGRF |                 |
| Pension Money Market Fund II       | PMMF |                 |
| Pension Bond Fund                  | KBDF |                 |
| Pension Balanced Fund II           | PBLF |                 |
| Pension Floor Fund II              | PFLF |                 |
| Pension Frontline Equity Fund      | PFEF |                 |
| Pension Classic Opportunities Fund | PCOF |                 |
| Pension Guarantee Fund             | PGUF |                 |
| Total                              |      | 100%            |

\* Switch In for Peak Guarantee fund will be allowed only during the tranche period and for policies that have their maturity date on or after the fund maturity date.

\* Kindly check the funds available to invest the Top Up Amount from the table given and select the funds accordingly.

\* Peak Guarantee funds available only for Kotak Single Invest Plans and Kotak Platinum Edge Plans.

Policy Holders Signature \_\_\_\_\_

Date

Scribe Signature \_\_\_\_\_

Time

## Annexure I (For information only)

## Fund available for Top-Up

| Plan Name  | Product Code                    | Kotak Opportunity Fund | Kotak Balanced Fund | Kotak Bond Fund | Kotak Gilt Fund | Kotak Growth Fund | Kotak Aggressive Growth Fund | Kotak Floor Fund | Kotak Floating Rate Fund | Kotak Money Market Fund | Pension Bond Fund | Pension Opportunity Fund | Pension Floor Fund | Money Market Fund | Balanced Fund | Dynamic Floor Fund II | Frontline Equity Fund | Classic Opportunities Fund | Guarantee Fund | Pension Money Market Fund II | Pension Balanced Fund II | Pension Floor Fund II | Pension Frontline Equity Fund | Pension Classic Opportunities Fund | Pension Guarantee Fund |
|--|---------------------------------|------------------------|---------------------|-----------------|-----------------|-------------------|------------------------------|------------------|--------------------------|-------------------------|-------------------|--------------------------|--------------------|-------------------|---------------|-----------------------|-----------------------|----------------------------|----------------|------------------------------|--------------------------|-----------------------|-------------------------------|------------------------------------|------------------------|
| Kotak Easy Growth Plan-5 Times   | KEG1<br>KEG2<br>KEG3            | KOPF                   |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FFMF                    | -----             | -----                    | FMMF               | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Easy Growth Plan-1.25  | KEG4                            | KOPF                   |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FFMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment Plan - Low cover   | K01A                            | -----                  |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment LPP - Low cover  | K01B                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment Plan - High cover  | K01C                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment LPP - High cover   | K01D                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Kotak Safe Investment Plans who Opted to switch to new funds prior to March 15, 2010   | K01                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | FFEF                  | -----                      | FGRF           | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Flexi Plan / Kotak Flexi Plan LPP  | K02A /<br>K02B                  | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Flexi Plan (before guidelines)   | KFP1,<br>KFP2,<br>KFP3,<br>KFP4 | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Retirement Plan Single Prem (Unit Linked)  | K04A                            | KOPF                   | KBLP                | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PFEF                          | -----                              | -----                  |
| Kotak Retirement Plan With Cover (Unit Linked)   | K04B                            | KOPF                   | -----               | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PFEF                          | -----                              | -----                  |
| Kotak Retirement Plan Without Cover (UnitLinked)   | K04C                            | KOPF                   | KBLP                | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PFEF                          | -----                              | -----                  |
| Kotak Retirement Income Plan (Unit Linked) Single Premium (before UL guidelines)   | KR1 1                           | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | KFRP                     | -----                   | KBDP              | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PFEF                          | -----                              | -----                  |
| "Kotak Retirement Income Plan - Unit Linked With Cover (before UL guidelines)<br>Kotak Retirement Income Plan- Unit Linked Without Cover (before UL guidelines)" | "KR1 2<br>KR13"                 | -----                  | KBLP                | KBLP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | KBDP              | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PFEF                          | -----                              | -----                  |
| HeadStart Future Protect -Single Life / Joint Life   | K05A,<br>K05B,<br>K05C,<br>K05D | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| HeadStart Assurewealth-Single Life / Joint Life  | K06A,<br>K06B,<br>K06C,<br>K06D | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Headstart Plans who Opted to switch to new funds prior to March 15, 2010   | -----                           | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Advntage Shield / Dynamic / Risk  | K08A,<br>K08B,<br>K08C          | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Plt Adv Plus Shld double / Single  | K17A,<br>K17B,<br>K17C          | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Smart Advantage - Regular / Limited  | "K12A,<br>K12B"                 | KOPF                   | -----               | KBDF            | -----           | -----             | -----                        | KFLF             | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Long Life Secure Plus - Regular/ Limited/ Inbuilt Benefit  | "K13A,<br>K13B,<br>K13C"        | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Long Life Wealth Plus - Regular / Limited  | "K14A,<br>K14B"                 | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Long Life Plans who Opted to switch to new funds prior to March 15, 2010   | K13, K14                        | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Secure Retirement Plan   | K18A                            | -----                  | KBLP                | KBDP            | KGLP            | KGRP              | -----                        | -----            | KFRP                     | -----                   | KBDP              | -----                    | KGLP               | -----             | -----         | -----                 | PFEF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Second Innings Plan  | K19A                            | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | KBDP              | KOPP                     | KFLP               | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Second Innings Plans who Opted to switch to new funds prior to March 15, 2010  | K19A                            | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Plan (5x5P)  | K20                             | No Top Up              | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New HeadStart Future Protect-Single Life/Joint Life  | K27, K28                        | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New Kotak Long Life Secure Plan  | K29, K30                        | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Guaranteed Pension Builder   | K24                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | -----                         | -----                              | PGUF                   |
| Kotak Super Advantage  | K23                             | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Edge  | K31                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New Kotak Second Innings   | K32                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | KBDP              | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | PBLF                     | PFLF                  | PFEF                          | PCOF                               | -----                  |
| New Kotak Safe Investment Plan II  | K34                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | FMMF              | -----         | -----                 | FFEF                  | -----                      | FGRF           | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Wealth Insurance Plan  | K38                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak ACE Investment Plan  | K42                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Plan   | K33                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | FMMF              | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Advantage Plan  | K43                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | FMMF              | -----         | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Headstart Child Plan   | K40                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Plan  | K44                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | -----              | FMMF              | FBLF          | FFLF                  | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Invest Maxima Plan   | K51**                           | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | FBLF          | -----                 | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Invest Maxima Plan   | K65**                           | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | FBLF          | -----                 | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Advantage  | K83**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum   | K84**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | FBLF          | -----                 | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Ace Investment   | K86**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | FBLF          | -----                 | FFEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |

\* Incase of Kotak Safe Investment Plan II 'Frontline Equity Fund' is available only when guarantee / capital guarantee falls off. \*\* This will be applicable if policy is in Self managed strategy; If strategy is to be changed, refer Invesment Strategy form