

## APPLICATION FOR FUND SWITCH

**CONDITIONS TO BE READ BEFORE FILLING THE FORM:**

1. This form needs to be filled by the policyholder himself/herself in BLOCK LETTERS in black or blue ink.
2. In case of switching the funds from Top-Up account kindly fill in the fund switch details in the Top-Up A/C Form.
3. If this application form is received by 3.00 p.m. on a working day, switch shall be effected at the applicable price declared on the same day.
4. If the application form is received after 3.00 p.m. on a working day, switch shall be effected at the applicable price declared on the next Trading day.
5. This application will not be effective until it is officially accepted by Kotak Mahindra Life Insurance Company Ltd.
6. The switch will not affect your future premium allocation, only the current holding shall be switched as per the request.
7. Two Financial Transaction cannot be processed on same day.
8. In order to abide by the Foreign Account Tax Compliance Act (FATCA), kindly submit an Insurance FATCA Declaration separately.

I/We confirm that I/we shall report any future changes in my/our tax status to Kotak Life Insurance within 30 days of such change. I/We also confirm that until I/we provide a written intimation about any such changes, Kotak Life Insurance may presume that there is no change in my/our tax residency status and consider my/our earlier submitted declarations, if any, as valid. I understand that for any queries about my/our tax residency, I/we have to consult my/our own tax consultant.

Policy No.:		Plan Code:	
Plan Name:		Date:	D D M M Y Y Y Y
Title	Surname	First name	Middle name
Contact Details:		Email ID:	

Do your bit for a greener world by switching to e-communication. Kindly tick if you would like to receive your communication through electronic mode for all your policies.

**PAYMENT OPTIONS (Pay directly to my bank account mentioned here, please attach an Original cancelled cheque for any payment type)**

Payment remittance type  Cheque  Direct credit

Name of the Policy holder as per Bank record	
Bank Name & Address	Account No:
	IFSC Code
Account Type <input type="checkbox"/> Savings <input type="checkbox"/> NRE* <input type="checkbox"/> Others (if any)	MICR Code

\*Credit to NRE account can be given only if premiums are received from NRE account

**FUND SWITCH:**

Please note that switching of fund changes only existing fund holdings. If you wish to change the fund allocation of future premiums, please fill the "Application for Premium Re-direction" form.

I apply to switch the value of units held under this policy as indicated

Main Account  Top Up Account

(In case of switching the funds from Top-Up account kindly fill in the fund switch details in the "Funds Switch from Top-Up A/C" form.)

Product Name/ Code	[ ] - Kotak Safe investment Plan II (KSI & K01) [ ] - Kotak Flexi Plan (KFP & K02) [ ] - Kotak Platinum Advantage Plan Shield A/c (K08) [ ] - Kotak Platinum Advantage Plus Shield A/c (K17 & K16)	From (%)	To (%)	variable in
KGRG	Kotak Guaranteed Growth Fund (ULIF-013-27/06/03-GRTGWTFND-107)			KSI, K01, KFP, K02, K08, K16, K17
KBLG	Kotak Guaranteed Balanced Fund (ULIF-010-27/06/03-GRTBALFND-107)			KSI, K01, KFP, K02, K08
KBDF	Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)			KSI, K01, KFP, K02, K08
KFRF	Kotak Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)			KSI, K01, KFP, K02, K08
KGLF	Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)			KSI, K01, KFP, K02, K08
FMMF	Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)			KSI, K01, KFP, K02, K08
FFEF	Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)			KSI, K01, K02A, K02B, K16A, K17A, KFP1, KFP3, KULO
		100%		

- Notes :
- In case of KSI, K01, K02A, K02B, K08A, K16A, K17A, KFP1, KFP3, KULO 'Frontline Equity Fund' is available only when the Capital Guarantee falls off.
  - Once 'Frontline Equity Fund' has been opted for, customer cannot have his Capital Guarantee reinstated at any point of time.
  - Dynamic Money Market Fund is available only in the last policy year.
  - Guarantee & Front line Equity Fund is not available for KSIP with product code KULO & Joint Life KSIP policies.
  - Kotak Platinum Adv. Plus product code K16 & K17, only Guaranteed Growth Fund is available.

Product Name/ Code	[ ] - Kotak Safe investment Plan II (K34) [ ] - Kotak Secure Invest Insurance (K41)	From (%)	To (%)	Available in
FMMF	Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)			K34 & K41
FFEF	Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)			K34 & K41
GRTF	Guarantee Fund (ULIF-048-05/02/10-GRTFND-107)			K34 & K41
		100%		

- Notes :
- 'Frontline Equity Fund' is available only when the Capital Guarantee falls off.
  - Once 'Frontline Equity Fund' has been opted for, customer cannot have his Capital Guarantee reinstated at any point of time.

Product Name/ Code	[ ] - Kotak Single Invest Plus (K78)	From (%)	To (%)	Available in
FMMF	Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)			K03
FCOF	Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107)			K03
FFEF	Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)			K03
KBDF	Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDFND-107)			K03
KGLF	Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)			K03
		100%		

Policy No. \_\_\_\_\_ Signature of Scribe \_\_\_\_\_ Signature of Policyholder \_\_\_\_\_

Product Name/ Code		From (%)	To (%)	Available in
	[ ] - Kotak Retirement Plans (unit-Linked) (K04A, K04B & K04C) [ ] - Kotak Secure Retirement Plan (K18) [ ] - Kotak Retirement Income Plan (KRI1, KRI2, KRI3)			
KGRP	Kotak Pension Growth Fund (ULIF-030-07/01/09-PNGWTFND-107)			K18
KBLP	Pension Balanced Fund II (ULIF-046-24/01/10-PNBALFND2-107)			K04A, K04B, K04C, K18, KRI1, KRI2, KRI3
KBDP	Kotak Pension Bond Fund (ULIF-017-15/04/04-PNBDFND-107)			K04A, K04B, K04C, K18, KRI1, KRI2, KRI3
KFRP	Kotak Pension Floating Rate Fund (ULIF-022-07/12/04-PNFLTRFND-107)			K04A, K04B, K04C, K18, KRI1, KRI2, KRI3
KGLP	Kotak Pension Gilt Fund (ULIF-008-27/06/03-PNGLTFND-107)			K04A, K04B, K04C, K18, KRI1, KRI2, KRI3
PMMF	Pension Money Market Fund II (ULIF-039-28/12/09-PNMNMFND-107)			K04A, K04B, K04C, KRI1, KRI2, KRI3
PFEF	Pension Frontline Equity Fund (ULIF-044-11/01/10-PNFRLEQFND-107)			K04A, K04B, K04C, K18A, KRI1, KRI2, KRI3
			100%	

- Notes : - Pension Growth Fund is available only with Kotak Secure Retirement Plan (K18) and not available with Kotak Retirement Plans (K04A, K04B & K04C)  
- Pension Money Market Fund II is available only in the last policy year.  
- Pension Frontline Equity Fund is available in K04A, K04B, K04C, K18A, KRI1, KRI2, KRI3

Product Name/ Code		From (%)	To (%)	Available in (For Policies issued on or prior to 31st Dec 2009)
	[ ] - Kotak Easy Growth (KEG) [ ] - Kotak Long Life Secure Plus and Wealth Plus (K13, K14) [ ] - Kotak Headstart Future Protect and Assure Wealth (K05 & K06) [ ] - Kotak Smart Advantage (K12) [ ] - Kotak Platinum Adv Plus Dynamic A/c (K08, K16 & K17) [ ] - Kotak Single Invest (K20)			
KAGF	Aggressive Growth Fund (ULIF-018-13/09/04-AGRWFND-107)			KEG, K05, K06, K08, K13, K14, K16, K17
KGRF	Dynamic Growth Fund (ULIF-012-27/06/03-DYGFND-107)			KEG, K05, K06, K08, K13, K14, K16, K17
KBLF	Dynamic Balanced Fund (ULIF-009-27/06/03-DYBALFND-107)			KEG, K05, K06, K08, K13, K14, K16, K17
KFRF	Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)			KEG, K05, K06, K08, K13, K14, K16, K17
KGLF	Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)			KEG, K05, K06, K08, K13, K14, K16, K17
FMMF	Dynamic Money Market Fund (ULIF-041-05/01/10-MNMKMFND-107)			K05, K06, K08, K13, K14, K16, K17
KBDF	Dynamic Bond Fund (ULIF-015-15/04/04-DYBDFND-107)			KEG, K05, K06, K08, K12, K13, K14, K16, K17, K20
KOPF	Opportunities Fund (ULIF-029-02/10/08-OPPFND-107)			K12, K05, K06, K13, K14, K16, K17, K20
KFLF	Dynamic Floor Fund (ULIF-028-14/11/06-DYFLRFND-107)			K12, K05, K06, K08, K13, K14, K16, K17, K20
			100%	

- Notes : - Dynamic Money Market Fund is available only in the last policy year.  
- Headstart plans only for the following code (K05A, K05B, K06A, K06B, K05C, K05D, K06C & K06D).  
- Kotak Smart Advantage (K12) has only 3 fund option viz. Dynamic Bond Fund (KBDF), Dynamic Floor Fund (KFLF) & Opportunities Fund (KOPF)  
- Opportunities Fund (KOPF) & Dynamic Floor Fund (KFLF) is not available in Kotak Easy Growth Plan (KEG)  
- Opportunities Fund (KOPF) is not available in Kotak Platinum Adv Plus Dynamic A/c (K08)  
- In Kotak Smart Advantage Plan there is no unit allocation in the 1st policy year.  
- Kotak Money Market Fund is not available for Kotak Easy Growth (KEG).

Product Name/ Code		From (%)	To (%)	Available in
	[ ] - Kotak Headstart Future Protect and Assure Wealth (K27 & K28) [ ] - Kotak Long Life Secure Plus and Wealth Plus (K29 & K30) [ ] - Kotak Platinum Edge (K31) [ ] - Kotak Single Invest (K33) - Policies Issued after 1.Jan.10 [ ] - Kotak Wealth Insurance Plan (K38) [ ] - Kotak Super Advantage (K23) [ ] - Kotak Headstart Child Assure (K40) [ ] - Kotak Ace Investment (K42) [ ] - Kotak Single Invest Advantage (43) [ ] - Kotak Platinum (K44) [ ] - Kotak Single Invest Plus (K56) [ ] - Kotak Wealth Insurance (K66) [ ] - Kotak Ace Investment (K67) [ ] - Kotak Single Invest Advantage (K69) [ ] - Kotak Headstart Child Assure (K64)			
KFRF	Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)			K27, K28, K29, K30, K31, K38, K40, K42, K44, K67, K64, K68, K66
FBLF	Balanced Fund (ULIF-037-21/12/09-BALKFND-107)			K27, K28, K29, K30, K31, K38, K40, K42, K44, K67, K64, K68, K66
FMMF	Money Market Fund (ULIF-041-05/01/10-MNMKMFND-107)			K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K64, K68, K78, K66
KGLF	Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)			K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66
KBDF	Dynamic Bond Fund (ULIF-015-15/04/04-DYBDFND-107)			K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66
FCOF	Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107)			K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66
FPEF	Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)			K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K67, K69, K64, K68, K78, K56, K66
FFLF	Dynamic Floor Fund II (ULIF-035-17/12/09-DYFLRFND2-107)			K23, K27, K28, K29, K30, K31, K33, K38, K40, K42, K44, K56
GPGF	Peak Guarantee Fund I (ULIF-049-14/02/10-PKGRTFND1-107)		NA	K31, K33, K67, K69, K64, K68, K78, K56, K66
			100%	

- Notes : - Switch In not allowed in peak guarantee fund (Post 30th April 10), only switch out is allowed for those customers who have opted for this fund.  
- In Kotak Super Advantage (K23) there is no unit allocation in the 1st policy year.

Policy No. \_\_\_\_\_ Signature of Scribe \_\_\_\_\_ Signature of Policyholder \_\_\_\_\_

Product Name/ Code	[ ] - Kotak Second Innings Plan (K19)	From (%)	To (%)	Available in
KBDP	Pension Bond Fund (ULIF-017-15/04/04-PNBNDNFND-107)			K19
KOPP	Pension Opportunities Fund (ULIF-032-17/07/09-PNOPPFND-107)			K19
KFLP	Kotak Pension Floor Fund (ULIF-031-13/07/09-PNFLRFND-107)			K19
			100%	

Product Name/ Code	[ ] - Kotak Second Innings Plan (K32) [ ] - Guaranteed Pension Builder (K24)	From (%)	To (%)	Available in
KBDP	Pension Bond Fund (ULIF-017-15/04/04-PNBNDNFND-107)			K32
PBLF	Pension Balanced Fund II (ULIF-046-24/01/10-PNBALFND2-107)			K32
PFLF	Pension Floor Fund II (ULIF-043-08/01/10-PNFLRKFND2-107 )			K32
PFEF	Pension Frontline Equity Fund (ULIF-044-11/01/10-PNFRLEQFND-107)			K32
PCOF	Pension Classic Opportunities Fund (ULIF-042-07/01/10-PNCLAOPFND-107)			K32
PMMF	Pension Money Market Fund II (ULIF-039-28/12/09-PNMNMKFND-107)			K32 & K24
PGUF	Pension Guarantee Fund (ULIF-038-21/12/09-PNGRTFND-107)			K24
			100%	

Product Name/ Code	[ ] - Kotak Invest Maxima Plan (K51) [ ] - Kotak Invest Maxima Plan (K65) [ ] - Kotak Single Invest Advantage (K83) [ ] - Kotak Platinum (K84) [ ] - Kotak Ace Investment (K86) [ ] - Kotak Platinum (L01) [ ] - Kotak Ace Investment (L02) [ ] - Kotak Invest Maxima Plan (L04) [ ] - Kotak Wealth Optima (L20) [ ] - Kotak Wealth Optima Plan (K97) [ ] - Kotak e-Invest (L07) [ ] - Kotak e-Invest (L08) [ ] - Kotak e-Invest (L10) [ ] - Kotak Platinum Plan (L17) [ ] - Kotak T.U.L.I.P Plan (U01)	From (%)	To (%)	Available in
FMMF	Kotak Money Market Fund (ULIF-041-05/01/10-MNMKFFND-107)			K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10
FCOF	Kotak Classic Opportunities Fund (ULIF-033-16/12/09-CLAOPPFND-107)			K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10
FFEF	Kotak Frontline Equity Fund (ULIF-034-17/12/09-FRLEQFND-107)			K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10
KBDF	Kotak Kotak Dynamic Bond Fund (ULIF-015-15/04/04-DYBNDNFND-107)			K51, K65, K83, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10
FBLF	Kotak Balanced Fund (ULIF-037-21/12/09-BALKFND-107)			K51, K65, K84, K86, K97, L01, L04, L20, L02, L17, L07, L08, L10
KGLF	Kotak Dynamic Gilt Fund (ULIF-006-27/06/03-DYGLTFND-107)			K83, K84, K86, K97, L01, L20, L02, L17, L07, L08, L10
KFRF	Kotak Dynamic Floating Rate Fund (ULIF-020-07/12/04-DYFLTRFND-107)			K84, K86, K97, L01, L20, L02, L17, L07, L08, L10
MCAF	Mid Cap Advantage Fund (ULIF-054-15/09/23-MIDCAPFUND-107)			L04, L20, L01, L17, K83, L07, L08, L10
KMTF	Kotak Manufacturing Fund (ULIF055191124MANUFACFND107)			U01
			100%	

Notes : - This will be applicable if policy is in Self managed strategy.  
- If strategy is to be changed, refer Investment Strategy form.

**Important Points :**

1. Kotak Smart Advantage (K12) has only 3 fund option viz. Dynamic Bond Fund (KBDF), Dynamic Floor Fund (KFLF) & Opportunities Fund (KOPF). 2. Kotak Opportunities Fund and Dynamic Floor Fund is not available in Kotak Easy Growth Plan (KEG). 3. Opportunities Fund (KOPF) is not available in Kotak Platinum Adv Plus Dynamic A/c (K08). 4. Pension Growth Fund is available only with Kotak Secure Retirement Plan (K18) and not available with Kotak Retirement Plans (K04A, K04B & K04C). 5. Dynamic Money Market Fund, Pension Money Market Fund II and Dynamic Money Market Fund is available only in the last policy year. 6. Kotak Money Market Fund is not available for Kotak Easy Growth (KEG). 7. In case of Kotak Safe Investment Plan II 'Frontline Equity Fund' is available only when the GMV falls off. 8. Once 'Frontline Equity Fund' has been opted for, customer cannot have his GMV/Capital Guarantee reinstated at any point of time. 9. Guarantee Fund & Front line Equity Fund is not available for KSIP with product code KULO & Joint Life KSIP policies. 10. Kotak Platinum Adv. Plus product code K16 & K17, only Guaranteed Growth Fund is available. 11. Switch is not permissible for any policy in lapsed status. Fund switch is not allowed in notice period. 12. Switch In not allowed in peak guarantee fund (Post 30th April 10), only Switch Out is allowed for those customers who have opted for this fund.

I hereby declare that I Understand and agree to all the conditions and information given above. I further agree and understand that Fund Switch consequent to my request for the same herein shall be governed by the provisions and terms and conditions of the policy contract referred to herein.

		Name of Scribe: _____ Relationship with owner: _____ Contact Details of Scribe: _____
Signature of Policyholder	Signature of Scribe	

Note: In case the policy is assigned, please ensure that this form is signed by the Assignee.

**FOR BRANCH OFFICE USE ONLY**

Mode of Receipt : \_\_\_\_\_  
 Request Call ID : \_\_\_\_\_  
 Name of BOE : \_\_\_\_\_

**Kotak Mahindra Life Insurance Company Ltd.,**  
 IRDAI Regn. No. 107, CIN: U66030MH2000PLC128503, Regd. Office: 8th Floor,  
 Plot #C-12, G-Block, BKC, Bandra (E), Mumbai-400 051  
 For any correspondence kindly contact us at: 9th Floor, Intellion Square (Building No.4),  
 Infinity Park, Off. W. E. Highway, General AK Vaidya Marg,  
 Malad (E), Mumbai - 400 097, India. (+9122) 6994 8000  
 {D} 6725 6166 {F} 1800 209 8800 (toll free) www.kotaklife.com |  
 Write to: kli.in/WECARE/WhatsApp: 9321003007

BRANCH STAMP
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CC\PS\Fund Switch form\009

**ACKNOWLEDGEMENT**

We acknowledge the receipt of Fund Switch request for Policy No.: \_\_\_\_\_ Branch Name: \_\_\_\_\_  
 Name of Branch Co-ordinator : \_\_\_\_\_ Date : \_\_\_\_\_ Time : \_\_\_\_\_

**Kotak Mahindra Life Insurance Company Ltd.**

IRDAI Regn. No. 107, CIN: U166030MH2000PLC128503, Regd. Office: 8th Floor, Plot # C-12, G- Block, BKC, Bandra (E), Mumbai- 400 051  
 For any correspondence kindly contact us at: 9th Floor, Intellion Square (Building No.4), Infinity Park, Off. W. E. Highway, General AK Vaidya Marg, Malad (E), Mumbai - 400 097, India.  
 (+9122) 6994 8000 (D) 6725 6166 (F) 1800 209 8800 (toll free) www.kotaklife.com | Write to: kli.in/WECARE/WhatsApp: 9321003007

CC\PS\Fund Switch form\009

**Funds Switch in Top Up Account**

Policy No \_\_\_\_\_ Plan Name \_\_\_\_\_

Name of Policy Owner \_\_\_\_\_

Contact Details : \_\_\_\_\_ E-mail ID : \_\_\_\_\_

For old policies issued on or Prior to Dec 31, 2010

FROM	Code	% to be Switched
Kotak Opportunity Fund	KOPF	
Kotak Balanced Fund	KBLF	
Kotak Bond Fund	KBDF	
Kotak Gilt Fund	KGLF	
Kotak Growth Fund	KGRF	
Kotak Aggressive Growth Fund	KAGF	
Kotak Floor Fund	KFLF	
Kotak Floating Rate Fund	KFRF	
Kotak Money Market Fund	KMMF	
Pension Bond Fund	KBDF	
Pension Opportunity Fund	KOPP	
Pension Floor Fund	KFLP	
Total		

TO	Code	% of allocation
Kotak Opportunity Fund	KOPF	
Kotak Balanced Fund	KBLF	
Kotak Bond Fund	KBDF	
Kotak Gilt Fund	KGLF	
Kotak Growth Fund	KGRF	
Kotak Aggressive Growth Fund	KAGF	
Kotak Floor Fund	KFLF	
Kotak Floating Rate Fund	KFRF	
Kotak Money Market Fund	KMMF	
Pension Bond Fund	KBDF	
Pension Opportunity Fund	KOPP	
Pension Floor Fund	KFLP	
Total		100%

For old policies holders who opted to switch to new funds prior to March 15, 2010

FROM	Code	% to be Switched
Dynamic Floor Fund II	FFLF	
Frontline Equity Fund	FFEf	
Classic Opportunities Fund	FCOF	
Guarantee Fund	FGRF	
Pension Floor Fund II	PFLF	
Pension Frontline Equity Fund	PFEF	
Pension Classic Opportunities Fund	PCOF	
Peak Guarantee Fund *	GPGF	
Total		

TO	Code	% of allocation
Dynamic Floor Fund II	FFLF	
Frontline Equity Fund	FFEf	
Classic Opportunities Fund	FCOF	
Guarantee Fund	FGRF	
Pension Floor Fund II	PFLF	
Pension Frontline Equity Fund	PFEF	
Pension Classic Opportunities Fund	PCOF	
Total		100%

\* Switch In for Peak Guarantee fund will be allowed only during the tranche period and for policies that have their maturity date on or after the fund maturity date.

For New policy holder for policies issued post Jan 01, 2010

FROM	Code	% to be Switched
Money Market Fund	FMMF	
Dynamic Floating Rate Fund	KFRF	
Dynamic Gilt Fund	KGLF	
Dynamic Bond Fund	KBDF	
Balanced Fund	FBLF	
Dynamic Floor Fund II	FFLF	
Frontline Equity Fund	FFEf	
Classic Opportunities Fund	FCOF	
Guarantee Fund	FGRF	
Pension Money Market Fund II	PMMF	
Pension Bond Fund	KBDF	
Pension Balanced Fund II	PBLF	
Pension Floor Fund II	PFLF	
Pension Frontline Equity Fund	PFEF	
Pension Classic Opportunities Fund	PCOF	
Pension Guarantee Fund	PGUF	
Peak Guarantee Fund *	GPGF	
Total		

TO	Code	% of allocation
Money Market Fund	FMMF	
Dynamic Floating Rate Fund	KFRF	
Dynamic Gilt Fund	KGLF	
Dynamic Bond Fund	KBDF	
Balanced Fund	FBLF	
Dynamic Floor Fund II	FFLF	
Frontline Equity Fund	FFEf	
Classic Opportunities Fund	FCOF	
Guarantee Fund	FGRF	
Pension Money Market Fund II	PMMF	
Pension Bond Fund	KBDF	
Pension Balanced Fund II	PBLF	
Pension Floor Fund II	PFLF	
Pension Frontline Equity Fund	PFEF	
Pension Classic Opportunities Fund	PCOF	
Pension Guarantee Fund	PGUF	
Total		100%

\* Switch In for Peak Guarantee fund will be allowed only during the tranche period and for policies that have their maturity date on or after the fund maturity date.

\* Kindly check the funds available to invest the Top Up Amount from the table given and select the funds accordingly.

\* Peak Guarantee funds available only for Kotak Single Invest Plans and Kotak Platinum Edge Plans.

Policy Holders Signature \_\_\_\_\_

Date

Scribe Signature \_\_\_\_\_

Time

## Annexure I (For information only)

## Fund available for Top-Up

Plan Name	Product Code	Kotak Opportunity Fund	Kotak Balanced Fund	Kotak Bond Fund	Kotak Gilt Fund	Kotak Growth Fund	Kotak Aggressive Growth Fund	Kotak Floor Fund	Kotak Floating Rate Fund	Kotak Money Market Fund	Pension Bond Fund	Pension Opportunity Fund	Pension Floor Fund	Money Market Fund	Balanced Fund	Dynamic Floor Fund II	Frontline Equity Fund	Classic Opportunities Fund	Guarantee Fund	Pension Money Market Fund II	Pension Balanced Fund II	Pension Floor Fund II	Pension Frontline Equity Fund	Pension Classic Opportunities Fund	Pension Guarantee Fund
Kotak Easy Growth Plan-5 Times	KEG1 KEG2 KEG3	KOPF		KBDF	KGLF	KGRF	KAGF	-----	KFRF	FFMF	-----	-----	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Easy Growth Plan-1.25	KEG4	KOPF		KBDF	KGLF	KGRF	KAGF	-----	KFRF	FFMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Safe Investment Plan - Low cover	K01A	-----		KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Safe Investment LPP - Low cover	K01B	-----	KBLF	KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Safe Investment Plan - High cover	K01C	-----	KBLF	KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Safe Investment LPP - High cover	K01D	-----	KBLF	KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
# For Old Kotak Safe Investment Plans who Opted to switch to new funds prior to March 15, 2010	K01	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	FFEF	-----	FGRF	-----	-----	-----	-----	-----	-----
Kotak Flexi Plan / Kotak Flexi Plan LPP	K02A / K02B	-----	KBLF	KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Flexi Plan (before guidelines)	KFP1, KFP2, KFP3, KFP4	-----	KBLF	KBDF	KGLF	KGRF	KAGF	-----	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Retirement Plan Single Prem (Unit Linked)	K04A	KOPF	KBLP	KBDP	KGLP	-----	-----	-----	KFRP	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	PFEF	-----	-----
Kotak Retirement Plan With Cover (Unit Linked)	K04B	KOPF	-----	KBDP	KGLP	-----	-----	-----	KFRP	PMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	PFEF	-----	-----
Kotak Retirement Plan Without Cover (UnitLinked)	K04C	KOPF	KBLP	KBDP	KGLP	-----	-----	-----	KFRP	PMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	PFEF	-----	-----
Kotak Retirement Income Plan (Unit Linked) Single Premium (before UL guidelines)	KR1 1	-----	-----	-----	-----	-----	-----	-----	KFRP	-----	KBDP	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	PFEF	-----	-----
"Kotak Retirement Income Plan - Unit Linked With Cover (before UL guidelines) Kotak Retirement Income Plan- Unit Linked Without Cover (before UL guidelines)"	"KR1 2 KR13"	-----	KBLP	KBLP	KGLP	-----	-----	-----	KFRP	PMMF	KBDP	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	PFEF	-----	-----
HeadStart Future Protect -Single Life / Joint Life	K05A, K05B, K05C, K05D	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
HeadStart Assurewealth-Single Life / Joint Life	K06A, K06B, K06C, K06D	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
# For Old Headstart Plans who Opted to switch to new funds prior to March 15, 2010	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Platinum Advntage Shield / Dynamic / Risk	K08A, K08B, K08C	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Plt Adv Plus Shld double / Single	K17A, K17B, K17C	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Smart Advantage - Regular / Limited	"K12A, K12B"	KOPF	-----	KBDF	-----	-----	-----	KFLF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Long Life Secure Plus - Regular/ Limited/ Inbuilt Benefit	"K13A, K13B, K13C"	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Long Life Wealth Plus - Regular / Limited	"K14A, K14B"	KOPF	KBLF	KBDF	KGLF	KGRF	KAGF	KFLF	KFRF	FMMF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
# For Old Long Life Plans who Opted to switch to new funds prior to March 15, 2010	K13, K14	-----	-----	-----	-----	-----	-----	-----	-----	FMMF	-----	-----	-----	-----	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Secure Retirement Plan	K18A	-----	KBLP	KBDP	KGLP	KGRP	-----	-----	KFRP	-----	KBDP	-----	KGLP	-----	-----	-----	PFEF	-----	-----	-----	-----	-----	-----	-----	-----
Kotak Second Innings Plan	K19A	-----	-----	-----	-----	-----	-----	-----	-----	-----	KBDP	KOPP	KFLP	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
# For Old Second Innings Plans who Opted to switch to new funds prior to March 15, 2010	K19A	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Single Invest Plan (5x5P)	K20	No Top Up	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----
New HeadStart Future Protect-Single Life/Joint Life	K27, K28	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
New Kotak Long Life Secure Plan	K29, K30	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Guaranteed Pension Builder	K24	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	-----	-----	-----	-----	PGUF
Kotak Super Advantage	K23	-----	-----	KBDF	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Platinum Edge	K31	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
New Kotak Second Innings	K32	-----	-----	-----	-----	-----	-----	-----	-----	-----	KBDP	-----	-----	-----	-----	-----	-----	-----	-----	PMMF	PBLF	PFLF	PFEF	PCOF	-----
New Kotak Safe Investment Plan II	K34	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	FMMF	-----	-----	FFEF	-----	FGRF	-----	-----	-----	-----	-----	-----
Kotak Wealth Insurance Plan	K38	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak ACE Investment Plan	K42	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Single Invest Plan	K33	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	-----	-----	-----	-----	-----	FMMF	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Single Advantage Plan	K43	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	-----	-----	-----	-----	-----	FMMF	-----	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Headstart Child Plan	K40	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Platinum Plan	K44	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	-----	-----	-----	-----	FMMF	FBLF	FFLF	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Invest Maxima Plan	K51**	-----	-----	KBDF	-----	-----	-----	-----	-----	FMMF	-----	-----	-----	-----	FBLF	-----	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Invest Maxima Plan	K65**	-----	-----	KBDF	-----	-----	-----	-----	-----	FMMF	-----	-----	-----	-----	FBLF	-----	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Single Invest Advantage	K83**	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	-----	FMMF	-----	-----	-----	-----	-----	-----	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Platinum	K84**	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	FMMF	-----	-----	-----	-----	FBLF	-----	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----
Kotak Ace Investment	K86**	-----	-----	KBDF	<b>KGLF</b>	-----	-----	-----	KFRF	FMMF	-----	-----	-----	-----	FBLF	-----	FFEF	FCOF	-----	-----	-----	-----	-----	-----	-----

\* Incase of Kotak Safe Investment Plan II 'Frontline Equity Fund' is available only when guarantee / capital guarantee falls off. \*\* This will be applicable if policy is in Self managed strategy; If strategy is to be changed, refer Invesment Strategy form