

## APPLICATION FOR TOP-UP PREMIUM

### INSTRUCTIONS FOR FILLING UP THE FORM

#### Conditions to be read before filling the form :

1. This form needs to be filled by the Policyholder himself/herself in BLOCK LETTERS in black or blue ink.
2. For Top Up premium received via Cash mode or Local Clearance Cheque/ Demand Draft before cut off time (3 pm) on a business day, the applicable NAV shall be closing NAV of the same business day.
3. For Top Up premium received via Cash mode or Local Clearance Cheque/ Demand Draft after cut off time (3 pm) on a business day, the applicable NAV shall be closing NAV of the next business day.
4. For Top Up premium received via Outstation Cheque/ Demand Draft, the closing NAV of the day on which cheque/demand draft is credited shall be applicable.
5. The policy holder must sign on cancellation or alteration. 6. This application will not be effective until it is officially accepted by Kotak Mahindra Life Insurance Company Ltd.
7. Top-Up processing may be subject to underwriting risk acceptance, as applicable. In cases where underwriting risk rejection is received after the unit allocation (as explained in points 2 to 4 above) Fund Value as on the date of rejection shall be payable depending on the cut off time (3PM) on a business day. Where underwriting risk rejection is received before the unit allocation, top-up premium amount shall be refunded.

**Note:** In order to abide by the Foreign Account Tax Compliance Act (FATCA), kindly submit an Insurance FATCA Declaration separately.

I/We confirm that I/we shall report any future changes in my/our tax status to Kotak Life Insurance within 30 days of such change. I/We also confirm that until I/we provide a written intimation about any such changes, Kotak Life Insurance may presume that there is no change in my/our tax residency status and consider my/our earlier submitted declarations, if any, as valid. I understand that for any queries about my/our tax residency, I/we have to consult my/our own tax consultant.

### 1. PARTICULARS OF THE POLICYHOLDER

CLIENT ID

FULL NAME OF POLICY OWNER

|                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|
| Title                | Surname              | First name           | Middle name          |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Contact No.:

Email:

**Do your bit for a greener world by switching to e-communication. Kindly tick if you would like to receive your communication through electronic mode for all your policies.**

### 2. PARTICULARS OF LIFE INSURED

CLIENT ID (For existing Kotak Life Insurance policyholders)

FULL NAME (Please leave a gap between each part of the name)

|                      |                      |                      |                      |
|----------------------|----------------------|----------------------|----------------------|
| Title                | Surname              | First name           | Middle name          |
| <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> |

Is the life insured presently in good health? (Applicable for Kotak Capital Multiplier Plan)

Yes  No

If, No, please give details.

### 3. PARTICULARS OF TOP-UP PREMIUM

Policy No :

NAME OF THE PLAN :

MODE OF PAYMENT :  Cheque/DD  Cash (Should you choose to pay premium by cash, you are advised to do so at the nearest Kotak Life Insurance branch only)

BANK NAME WITH  
BRANCH LOCATION

ACCOUNT NO.

| Cheque/DD No.        | Dated             | Amount (in Rs.)      | Drawn on (Name of bank and branch) |
|----------------------|-------------------|----------------------|------------------------------------|
| <input type="text"/> | D D M M Y Y . Y Y | <input type="text"/> | <input type="text"/>               |

### 4. ALLOCATION OF TOP-UP PREMIUMS TO INVESTMENT PORTFOLIOS (Total must be equal to 100%)

| % Of Allocation      | Fund Code            | Fund Name            |
|----------------------|----------------------|----------------------|
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| <input type="text"/> | <input type="text"/> | <input type="text"/> |
| 100 %                |                      | Total                |

### 5. PAYMENT OPTIONS (Pay directly to my bank account mentioned here, please attach an Original cancelled cheque for any payment type)

Payment remittance type  Cheque  Direct credit

Name of the Policy holder as per Bank record

Bank Name  
& Address

Account No:

IFSC Code

Account Type  Savings  NRE\*  Others (if any)

MICR Code

\*Credit to NRE account can be given only if premiums are received from NRE account



## Annexure I (For information only)

## Fund available for Top-Up

| Plan Name  | Product Code                    | Kotak Opportunity Fund | Kotak Balanced Fund | Kotak Bond Fund | Kotak Gift Fund | Kotak Growth Fund | Kotak Aggressive Growth Fund | Kotak Floor Fund | Kotak Floating Rate Fund | Kotak Money Market Fund | Pension Bond Fund | Pension Opportunity Fund | Pension Floor Fund | Money Market Fund | Balanced Fund | Dynamic Floor Fund II | Frontline Equity Fund | Classic Opportunities Fund | Guarantee Fund | Pension Money Market Fund II | Pension Balanced Fund II | Pension Floor Fund II | Pension Frontline Equity Fund | Pension Classic Opportunities Fund | Pension Guarantee Fund |
|--|---------------------------------|------------------------|---------------------|-----------------|-----------------|-------------------|------------------------------|------------------|--------------------------|-------------------------|-------------------|--------------------------|--------------------|-------------------|---------------|-----------------------|-----------------------|----------------------------|----------------|------------------------------|--------------------------|-----------------------|-------------------------------|------------------------------------|------------------------|
| Kotak Easy Growth Plan-5 Times   | KEG1<br>KEG2<br>KEG3            | KOPF                   |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | FMMF               | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Easy Growth Plan-1.25  | KEG4                            | KOPF                   |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment Plan - Low cover   | K01A                            | -----                  |                     | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment LPP - Low cover  | K01B                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment Plan - High cover  | K01C                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Safe Investment LPP - High cover   | K01D                            | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Kotak Safe Investment Plans who Opted to switch to new funds prior to March 15, 2010   | K01                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | FPEF                  | -----                      | FGRF           | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Flexi Plan / Kotak Flexi Plan LPP  | K02A /<br>K02B                  | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Flexi Plan (before guidelines)   | KFP1,<br>KFP2,<br>KFP3,<br>KFP4 | -----                  | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Retirement Plan Single Prem (Unit Linked)  | K04A                            | KOPF                   | KBLP                | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PPEF                          | -----                              | -----                  |
| Kotak Retirement Plan With Cover (Unit Linked)   | K04B                            | KOPF                   | -----               | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PPEF                          | -----                              | -----                  |
| Kotak Retirement Plan Without Cover (UnitLinked)   | K04C                            | KOPF                   | KBLP                | KBDP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PPEF                          | -----                              | -----                  |
| Kotak Retirement Income Plan (Unit Linked) Single Premium (before UL guidelines)   | KR1                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | KFRP                     | -----                   | KBDP              | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PPEF                          | -----                              | -----                  |
| "Kotak Retirement Income Plan - Unit Linked With Cover (before UL guidelines)<br>Kotak Retirement Income Plan- Unit Linked Without Cover (before UL guidelines)" | "KR1 2<br>KR13"                 | -----                  | KBLP                | KBLP            | KGLP            | -----             | -----                        | -----            | KFRP                     | PMMF                    | KBDP              | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | PPEF                          | -----                              | -----                  |
| HeadStart Future Protect -Single Life / Joint Life   | K05A,<br>K05B,<br>K05C,<br>K05D | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| HeadStart Assurewealth-Single Life / Joint Life  | K06A,<br>K06B,<br>K06C,<br>K06D | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Headstart Plans who Opted to switch to new funds prior to March 15, 2010   | -----                           | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FPEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Advantage Shield / Dynamic / Risk   | K08A,<br>K08B,<br>K08C          | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Plt Adv Plus Shld double / Single  | K17A,<br>K17B,<br>K17C          | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Smart Advantage - Regular / Limited  | "K12A,<br>K12B"                 | KOPF                   | -----               | KBDF            | -----           | -----             | -----                        | KFLF             | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Long Life Secure Plus - Regular/ Limited/ Inbuilt Benefit  | "K13A,<br>K13B,<br>K13C"        | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Long Life Wealth Plus - Regular / Limited  | "K14A,<br>K14B"                 | KOPF                   | KBLF                | KBDF            | KGLF            | KGRF              | KAGF                         | KFLF             | KFRF                     | FMMF                    | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Long Life Plans who Opted to switch to new funds prior to March 15, 2010   | K13, K14                        | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FPEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Secure Retirement Plan   | K18A                            | -----                  | KBLP                | KBDP            | KGLP            | KGRP              | -----                        | -----            | KFRP                     | -----                   | KBDP              | -----                    | KGLP               | -----             | -----         | -----                 | PPEF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Second Innings Plan  | K19A                            | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | KBDP              | KOPP                     | KFLP               | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| # For Old Second Innings Plans who Opted to switch to new funds prior to March 15, 2010  | K19A                            | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | FFLF                  | FPEF                  | FCOF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Plan (5x5P)  | K20                             | No Top Up              | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New HeadStart Future Protect-Single Life/Joint Life  | K27, K28                        | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New Kotak Long Life Secure Plan  | K29, K30                        | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Guaranteed Pension Builder   | K24                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | -----                    | -----                 | -----                         | -----                              | PGUF                   |
| Kotak Super Advantage  | K23                             | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Edge  | K31                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| New Kotak Second Innings   | K32                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | -----              | -----             | -----         | -----                 | -----                 | -----                      | -----          | PMMF                         | PBLF                     | PFLF                  | PPEF                          | PCOF                               | -----                  |
| New Kotak Safe Investment Plan II  | K34                             | -----                  | -----               | -----           | -----           | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | FMMF               | -----             | -----         | FPEF                  | -----                 | FGRF                       | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Wealth Insurance Plan  | K38                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak ACE Investment Plan  | K42                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Plan   | K33                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | FMMF               | -----             | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Advantage Plan  | K43                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | -----                   | -----             | -----                    | FMMF               | -----             | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Headstart Child Plan   | K40                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum Plan  | K44                             | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | -----                   | -----             | -----                    | FMMF               | FBLF              | FFLF          | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Invest Maxima Plan   | K51**                           | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | FBLF              | -----         | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Invest Maxima Plan   | K65**                           | -----                  | -----               | KBDF            | -----           | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | FBLF              | -----         | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Single Invest Advantage  | K83**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | -----                    | FMMF                    | -----             | -----                    | -----              | -----             | -----         | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Platinum   | K84**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | FBLF              | -----         | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |
| Kotak Ace Investment   | K86**                           | -----                  | -----               | KBDF            | KGLF            | -----             | -----                        | -----            | KFRF                     | FMMF                    | -----             | -----                    | -----              | FBLF              | -----         | FPEF                  | FCOF                  | -----                      | -----          | -----                        | -----                    | -----                 | -----                         | -----                              | -----                  |

\* In case of Kotak Safe Investment Plan II 'Frontline Equity Fund' is available only when guarantee / capital guarantee falls off. \*\* This will be applicable if policy is in Self managed strategy; If strategy is to be changed, refer Investment Strategy form