

Insurance FATCA/CRS Declaration
Individual declaration
US Person* or Person Residing outside India or Indian Resident
You are requested to consult a legal/tax advisor for Residential Status

Note - The information in this section is being collected because of enhancements to Kotak Mahindra Life Insurance Company Limited's new policy issuance procedures in order to fully comply with Foreign Account Tax Compliance Act (FATCA) requirements and the Common Reporting Standards (CRS) requirements pursuant to amendments made to Income-tax Act, 1961 read with Income-tax Rules, 1962.

For more information refer:

http://www.incometaxindia.gov.in/dtaa/other%20agreements/india_iga_final_-_india_english.pdf

(We are unable to provide advice about your tax residency. If you have any questions about your tax residency, please contact your tax advisor)

Below is a list of questions which could help determine your tax residency for Foreign Account Tax Compliance Act (FATCA)/Common Reporting Standards (CRS) Declaration.

| | PROPOSER | LIFE INSURED | NOMINEE | ASSIGNEE | TRUSTEE |
|---|--|--|--|--|--|
| Is your Place and Country of Birth outside India | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are you a citizen of any other country (dual / multiple) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Are you a resident (for tax purposes) of any other country other than India | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Do you hold a green card of US or any similar card for any other country | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

If the answer to any of the above questions is 'Yes', please fill the following FATCA/CRS Declaration. All the fields given below are mandatory. Please do not leave them blank.

| Parameters | PROPOSER | LIFE INSURED | NOMINEE | ASSIGNEE | TRUSTEE |
|---|--|--|--|--|--|
| Client ID | | | | | |
| Name | | | | | |
| Father's name | | | | | |
| US Person (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Resident of any other country other than US | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |

| | | | | | |
|---|--|--|--|--|--|
| Country of Residence– Please specify the country | | | | | |
| Taxpayer Identification Number (TIN) (Mention complete number and Submit a copy – Mandatory) | | | | | |
| Exemption claimed, if any (to be supported by necessary documents) | | | | | |
| “COUNTRY OUTSIDE INDIA” | | | | | |
| Indicia | | | | | |
| Country issuing the “Identity Proof” | | | | | |
| Telephone No. Outside India? (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| If Yes, provide Telephone no. | | | | | |
| Citizenship Outside India. (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| If Yes, provide country of citizenship | | | | | |
| Communication / Permanent Address Outside India? (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| If Yes, provide the address, Residential: | | | | | |
| Business: | | | | | |
| Country/ies of Residence for Tax purpose is outside India? (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Power Of Attorney (POA) of a person outside India? (Pls ✓) | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| Source of Income | | | | | |

Note: Please use multiple forms in case of multiple life assured or multiple nominees

**US Person: In case of individuals, US Person means a citizen or resident of the United States. Persons who would qualify as US Persons could be Born in the United States, Born outside the United States of a US parent, Naturalized citizens, Green Card Holders, Tax residents. [Please note that above information is provided only for quick reference to customers. Please consult your tax/legal advisor for details]*

I / We confirm that above details provided by me / us are correct and to the best of my knowledge. I / We also confirm that I / We will report any change in my/our tax status in future to Kotak Life Insurance (KLI) within 30 days of such change. I acknowledge that towards compliance with tax information sharing laws, such as FATCA/CRS, KLI may be required to seek additional personal, tax and beneficial owner information and certain certifications and documentation from the account holder. Such information may be sought either at the time of Policy issuance or any time subsequently.

I hereby give consent to KLI to share with any regulatory body my information such as contact details, tax identification number / social security number, account balances / activities or any transactions undertaken with KLI.

KLI may deduct from the monies payable to me such amount as may be required to comply with any instruction issued by a Government/ Statutory/ Regulatory authority, including, but not limited to, instructions by Indian Authorities to comply with a foreign law, such as FATCA/CRS.

I also authorise KLI to terminate the policy in the event that appropriate documentation of Insured / Policyholder as may be required by KLI for the compliance as aforesaid is not timely provided to KLI.

| | PROPOSER | LIFE INSURED | NOMINEE | ASSIGNEE | TRUSTEE |
|-----------|-----------------|---------------------|----------------|-----------------|----------------|
| Name | | | | | |
| Signature | | | | | |
| Date | | | | | |

Kotak Mahindra Life Insurance Company Ltd.

Regn. No.: 107, CIN : U66030MH2000PLC128503,

Regd. Office: 2nd Floor, Plot # C-12, G-Block, BKC, Bandra (E), Mumbai-400 051, India.

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